

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.

1. Name and Address of Reporting Person *			2. Issuer Name and Ticker or Trading Symbol		5. Relationship of Reporting Person(s) to Issuer	
RCB EQUITIES 1, LLC			Nauticus Robotics, Inc. [KITT]		(Check all applicable)	
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)		Director X 10% Owner	
5862 W. 3RD STREET			09/30/2026		Officer (give title below) Other (specify below)	
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)		6. Individual or Joint/Group Filing (Check Applicable Line)	
LOS ANGELES CA 90036					Form filed by One Reporting Person	
(City) (State) (Zip)					X Form filed by More than One Reporting Person	

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
COMMON STOCK	09/30/2026		C		168,011 ⁽³⁾	A	\$1.488	293,091 ⁽⁴⁾	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
SENIOR SECURED TERM LOAN (09/23/2023, AS AMENDED)	\$1.488	09/30/2026		C		\$250,000		(1)	(2)	COMMON STOCK, \$0.0001 PAR VALUE	168,011	\$1.488	\$1,050,000 ⁽⁵⁾	D	

1. Name and Address of Reporting Person *		
RCB EQUITIES 1, LLC		
(Last)	(First)	(Middle)
5862 W. 3RD STREET		
(Street)		
LOS ANGELES	CA	90036
(City)	(State)	(Zip)

1. Name and Address of Reporting Person *

DROR BRIAN ISAAC

(Last) (First) (Middle)

5862 W. 3RD STREET

(Street)

LOS ANGELES CA 90036

(City) (State) (Zip)

Explanation of Responses:

1. Immediately upon notice
2. None
3. On September 30, 2026, RCB Equities #1, LLC converted \$250,000 of the outstanding principal under the Senior Secured Term Loan Agreement dated September 18, 2023 (as amended by the Sixth Amendment dated September 30, 2026) into 168,011 shares of Common Stock at a conversion price of \$1.488 per share. The conversion price of \$1.488 per share was available for conversion notices delivered from September 30, 2026, through and including October 2, 2026. Prior to this conversion, RCB Equities #1, LLC beneficially owned approximately 125,080 shares of Common Stock.
4. On September 24, 2026, Issuer effected a 1 for 6 reverse stock split. Prior to the reverse stock split, RCB Equities #1, LLC beneficially owned approximately 750,843 shares of Common Stock.
5. Following the September 30, 2026 conversion of \$250,000 of the Senior Secured Term Loan, the remaining outstanding balance of the term loan is subject to confirmation from the loan records.

BRIAN ISAAC DROR 10/01/2026

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.